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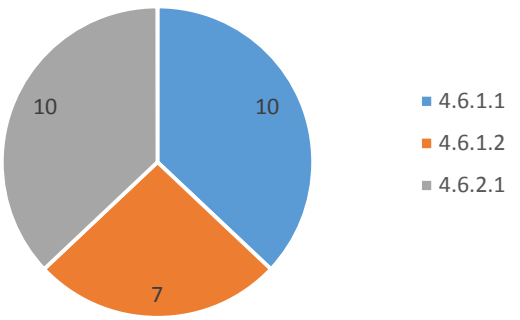
OUTCOMES REPORT
EPEAT VERIFICATION ROUND IE-2013-02

1. Overview of Verification Round

This report provides the detailed results of EPEAT Verification Round IE-2013-02. This Round focused on criteria that have been the subject of questions / inquiries from Subscribers and consisted of a total of 27 investigations (all Level 1) for the IEEE 1680.2™ criteria listed below:

- 4.6.1.1 – Required – Provision of product take-back service
- 4.6.1.2 – Optional – Provision of take-back service for broader scope of products
- 4.6.2.1 – Required – End-of-life processing requirements

Figure 1:
Number of investigations by Criterion

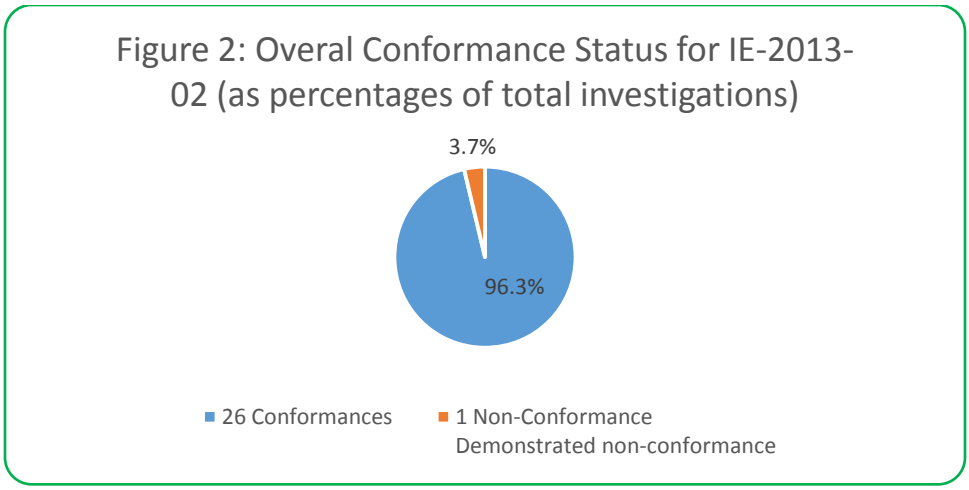


Verification Round IE-2013-02 consisted of 27 investigations for Annual Corporate Declaration Criteria. All Subscribers active at the beginning of the Verification Round were eligible for inclusion in this Round. All Subscribers were investigated for both required criteria and, if applicable, the optional criterion. All geographies and Subscribers were eligible for inclusion. The Verification Round was performed using Level 1 investigations (i.e. review of Subscriber submissions).Additionally, all applicable Product Registration Entities (PREs) were represented in this Verification Round.

2. Summary of Outcomes

Highlights from this Verification Round are:

- **27** Investigations Planned
- **27** Investigations Completed
- **26** Decisions of Conformance
- **1** Decision of Non-Conformance(reason identified in Figure 2 below)



This Verification Round is the second for imaging equipment on the EPEAT Registry. . Following current EPEAT practice, Non-Conformant Subscribers are named in this Outcomes Report.

The number of Non-Conformances by criterion are presented In Table 1 of Section 6

Following the investigation phase, corrective actions were taken to resolve the identified Non-Conformance. The impact of the corrective action on the Registry was:

- **1** Annual Corporate Declaration Criterion — Subscriber updated information on company website to move into Conformance.

3. Key Lesson

1680.2: 4.6.1.2: This optional criterion requires that Subscribers declaring to this criterion have a take-back service for more products than those included in the scope of the standard. It applies to consumer electronics as defined in the criterion. The criterion contains reporting requirements to report volumes of products collected including mandated programs and voluntary programs. Local or federally mandated collection programs do include state mandated programs for all applicable consumer electronics where the Subscriber is responsible for collection.

4. General Message to Subscribers

Provision of information for Verification Rounds: The IEEE 1680 standard and the EPEAT subscriber agreements require that Subscribers provide the information identified in Verification Requirements to prove the accuracy of their declarations within 30 days of EPEAT's request. Failure to provide that information is inconsistent with the agreement and may result in termination of the Subscriber from EPEAT.

Initial response to Qualified Verifiers: When contacted regarding participation in a Verification Round, EPEAT staff continue to request that Subscribers respond to the Qualified Verifier as soon as possible to let them know they are communicating with the correct person or to inform them of the correct contact. This also helps the Qualified Verifier know that s/he has a valid email address.

5. Looking Forward

Plans for Future Verification Activities: There are two additional Verification Rounds planned for 2013 for imaging equipment for a total of 4 Verification Rounds. Investigations may include Level 1, Level 2 and/or Level 3 investigations.

Conformity Assessment Protocols: This and all future Verification Rounds have and will be conducted according to the guidance provided in the Conformity Assessment Protocols posted on www.epeat.net.

Upcoming Training: Conformity Assessment training will be held in Portland, Oregon from September 30 through October 3, 2013. Please contact Andrea Desimone at ADesimone@greenelectronicscouncil.org for more information.

6. Investigations Tables

TABLE 1: Summary of Non-Conformance Findings

Criterion	Required or Optional	Description	Total Investigations	Completed Investigations	Non-Conformances
4.6.1.1	Required	Provision of product take-back service.	10	10	0
4.6.1.2	Optional	Provision of take-back service for broader scope of products	7	7	1
4.6.2.1	Required	End-of-life processing requirements	10	10	0
		Totals	27	27	1

TABLE 2: Specific Non-Conformance Findings and Corrective Action Taken									
Subscriber	**Product**	**Country**	**Product Type**	**PRE**	**Criterion**	**Required or Optional**	**Criterion Description**	**NC Finding Description**	**Corrective Action Taken**
Konica-Minolta	bizhub 36	United States	Multifunction Device (MFD)	ULE	4.6.1.2	Optional	Provision of take-back service for broader scope of products	Demonstrated non-conformance	Subscriber updated information on company website to move into Conformance.

7. Background

To assure the credibility of the EPEAT Registry, verification of the claims by participating manufacturers (called "Subscribers") are rigorous, independent and transparent. Verification is conducted strictly according to policies and procedures described in the IEEE 1680 Standard and in documents provided on www.epeat.net. Subscribers are given no forewarning that their products will be verified and verification is performed based on the declarations as they are in the database at the time the round begins.

In Level 1 verification investigations, Subscribers are required to provide detailed and accurate information to demonstrate their conformance to each criterion of the standard in a timely manner that demonstrates Conformance, such as supply chain management records. In Levels 2 and 3 investigations, EPEAT buys or borrows products without the Subscriber's knowledge, disassembles them, and conducts detailed analytical testing if needed.

Investigations are performed by expert technical contractors who are free of conflicts of interest, and their recommended decisions are reviewed and finalized by a five-person panel of independent technical experts (called the Product Verification Committee) who are also contractors free of conflicts of interest. Verification activities conducted by the Product Verification Committee are done blind to the identity of the products and companies they are judging. The Committee makes a Conformance/Non-Conformance decision on each investigation, based on evidence collected and analyzed by Qualified Verifiers. A serious consequence of receiving a Non-Conformance is that it is published publicly in an Outcomes Report, for purchasers, competitors, and others to see.

Subscribers must correct Non-Conformances, either by bringing the product into Conformance, by un-declaring the criterion until Conformance is achieved, or by removing the product from the Registry. (EPEAT recommends that Subscribers also examine other products to determine if these declarations should be corrected as well.) If a Subscriber corrects the Non-Conformance by un-declaring the criterion and the criterion is an optional criterion, they lose that point, and possibly the product drops a rating tier. If it is a required criterion, they must archive the product. If it is a required corporate criterion, all their products must be archived.